

# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.		FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/652,638		08/29/2003		Douglas M. Baney	10030170-1			
	57299 7590 01/23/2008 Kathy Manke Avago Technologies Limited				EXAMINER PAJOOHI, TARA S			
	4380 Ziegler R	Load		. •	ART UNIT	PAPER NUMBER		
	Fort Collins, CO 80525		•	•	2886	2886		
					NOTIFICATION DATE	DELIVERY MODE		
				•	01/23/2008	ELECTRONIC		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

avagoip@system.foundationip.com kathy.manke@avagotech.com scott.weitzel@avagotech.com

•		A	pplication N	lo.	Applicant(s)						
	0.00	1	0/652,638		BANEY ET AL.						
•	Office Action Summary	Ex	xaminer		Art Unit						
			ara S. Pajoo		2886						
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply										
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).											
Status											
1)⊠	1) Responsive to communication(s) filed on <u>07 November 2007</u> .										
,	•	2b)⊠ This act									
• —	Since this application is in condition	for allowance	except for	formal matters, pro	secution as to the	e merits is					
,—	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.										
Disposition of Claims											
4)	Claim(s) 1-22 is/are pending in the	application.									
	4a) Of the above claim(s) <u>19-22</u> is/a		rom consid	eration.							
	Claim(s) is/are allowed.										
6)⊠ Claim(s) <u>1-18</u> is/are rejected.											
=	Claim(s) is/are objected to.										
8)□	Claim(s) are subject to restrict	ction and/or ele	ection requ	irement							
Applicati	on Papers										
9)	The specification is objected to by th	e Examiner.									
7—	The drawing(s) filed on 29 August 20		accepted     accepted	l or b)□ objected t	o by the Examine	er.					
•	Applicant may not request that any obje										
	Replacement drawing sheet(s) including					FR 1.121(d).					
11)	The oath or declaration is objected t	o by the Exam	iner. Note t	he attached Office	Action or form P	ГО-152.					
Priority ι	ınder 35 U.S.C. § 119										
12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:											
	1. Certified copies of the priority										
	2. Certified copies of the priority documents have been received in Application No.										
	3. Copies of the certified copies of the priority documents have been received in this National Stage										
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.											
- E	see the attached detailed Office action	ni ioi a list oi t	ne cermeu	copies not receive	u.						
					•						
Attachmen	, ,		_		(DTO 440)						
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (I	PTO-948\	4)	Interview Summary Paper No(s)/Mail Da							
3) 🔲 Infori	mation Disclosure Statement(s) (PTO/SB/08)		•	Notice of Informal P							
Pape	r No(s)/Mail Date		6)	Other:							

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## Response to Amendment

1. Acknowledgement is made to amendment filed on 11/07/2007.

2. Claims 19-22 are withdrawn from consideration.

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 1-7 and 16-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Hughes et al. (U.S. Patent # 4,184,767).
- 5. Considering **claims 1 and 5**, Hughes discloses (abstract and col. 2-5) and shows in figure 1, a method for determining the position of an object, comprising:
  - a. providing one or more EM beams (narrowband beams tuned or swept across a range of frequencies) (i.e., a continuous tunable laser source (10) tuning across a predetermined frequency bandwidth, col. 2, lines 6-10);
  - b. dispersing said one or more EM beams, restively, into a scanning space by frequency (i.e., frequency is scanned out of the laser source is directed to a dispersive element, col. 2, lines 12-16);
  - c. retro-reflecting at least a portion of the respective dispersed beams off of an object (30) (i.e., reflecting energy off of object (30) will be collected by collecting optics (50), col. 2, lines 20-31); and
  - d. determining, in response to frequencies associated with said retro-reflected beams, respective angular positions of the object (i.e., the frequency of the detected signal is determined by the laser detection system (60) and the change in elevation angle of the object is determined automatically, col. 2, lines 28-31).
- 6. Considering claims 2 and 3, Hughes shows in figure 1, triangulating spatial coordinates of said object using two or more (three or more) of said respective angular positions (20', 20", 20", ... 20 nth).

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- 7. Considering **claim 4**, Hughes discloses the one or more EM beams are broadband beams (i.e., light with a broad spectral band, col. 4, lines 66-67).
- 8. Considering **claim 6**, Hughes discloses (col. 4, line 56 col. 5, line 22) rotating the polarization state of the one ore more EM beams and the retro-reflected beams such that the one ore more EM beams and the retro-reflected beams are treated differently by polarizing beam splitters (302) located in respective paths.
- 9. Regarding **claims 7, 16 and 17,** Hughes discloses (abstract and col. 2-4) and shows in figure 1, a position determination system comprising:
  - e. one or more EM sources that provide EM beams (i.e., a continuous tunable laser source (10) tuning across a predetermined frequency bandwidth, col. 2, lines 6-10);
  - f. one or more beam dispersion devices (prism) (col. 3, lines 22-25) that respectively disperse the one ore more EM beams into a scanning space by frequency, therein the system is configured to be response to the retro-reflective object positioned with the scanning space such that the retro-reflective object retro-reflects at least a portion of the respective dispersed beams (i.e., frequency is scanned out of the laser source is directed to a dispersive element, which changes the frequency scan into a spatial scan, col. 2, lines 12-16) and one ore more partially reflective surfaces (beam splitter 302) to direct the EM beams to the beam dispersion device and that pass the retro-reflected beams to the one or more receptors;
  - g. one or more receptors that receive the respective retro-reflected beams and provide signals for determining the respective angular positions of the retro-reflective object (i.e., the frequency of the detected signal is determined by the laser detection system (60) and the change in elevation angle of the object is determined automatically, col. 2, lines 28-31).

### Claim Rejections - 35 USC § 103

- 10. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the

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subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- Claims 8-15 and 18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hughes et al. (U.S. Patent # 4,184,767 in view of Washington (U.S. Patent # 6,031,613).
- 13. Considering **claims 8 and 15**, Hughes fails to disclose a processor in signal communication with the one or more receptors.

In the same field of endeavor, Washington discloses (col. 5, lines 55-65) a processor (150) in communication with a receptor (112) that determines in response to frequencies associated with the respective retro-reflective (102) beams, the angular receptor of the retro-reflective object.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include a processor to analyze the signal received at the receptor to determine the angular position of the retro-reflective object as taught by Washington in the system of Hughes, since Hughes teaches that it would advantageously be TTL compliant (col. 5, lines 60-63).

Still lacking the limitation of the wavemeter.

However it would have been an obvious matter of design choice to use a wavemeter instead of a photodetector to detect the retro-reflected beams when using electromagnetic beams since a wavemeter is known in the art to be used to measure the distance between waves of EM waves.

14. Considering claims 9 and 11, Hughes shows in figure 1, triangulating spatial coordinates of said object using two or more (three or more) of said respective angular positions (20', 20", 20", ...20 nth).

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15. Considering **claim 10**, Hughes shows in figure 4, adjusting the orientation of the polarization of the beam to elliptical polarization.

- 16. Considering **claim 12**, Hughes discloses (col. 2, lines 6-10) the one or more EM beams include narrowband beams tuned or swept across a range of frequencies (i.e., a continuous tunable laser source (10) tuning across a predetermined frequency bandwidth).
- 17. Regarding **claims 13 and 14**, Hughes discloses photo-detectors (60) associated with the one or more receptors configured to detect receipt of the retro-reflected beams but fails to specifically disclose they a processor is configured to use /determine the frequencies which are associated with the retro-reflected beams to determine the angular position.

In the same field of endeavor, Washington discloses (col. 5, lines 55-65) a processor (150) in communication with a receptor (112) to determine the angular position of the object (102) based on the frequency of the output signal.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to include a processor to analyze the signal received at the receptor to determine the angular position of the retro-reflective object as taught by Washington in the system of Hughes, since Hughes teaches that it would advantageously be TTL compliant (col. 5, lines 60-63).

18. As per **claim 18**, Hughes discloses (col. 5-6) the use of polarized beamsplitters (401, 402 and 403), one ore more polarization state rotators (404, 405, 406), a retro-reflective object and one ore more receptors (60) but fails to specifically disclose the polarization rotators are positioned between the retro-reflected object and the polarization beam-splitter.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to position the polarization rotator between the polarization beam-splitter and retro-reflected object since it was known in the art that this setup is required for the polarization rotator to control the polarization state of the EM beam.

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## Response to Arguments

19. Applicant's arguments with respect to claims 1-18 have been considered but are moot in view of the new ground(s) of rejection. Accordingly, this action has been made NON-FINAL.

#### Conclusion

20. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tara S. Pajoohi whose telephone number is 571-272-9785. The examiner can normally be reached on Monday - Thursday 7:30 a.m. - 4:00 p.m., EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tarifur R. Chowdhury can be reached on 571-272-2287. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

IN TSP

Tara S. Pajoohi Patent Examiner

TARIFUR CHOWDHURY
SUPERVISORY PATENT EXAMINER